

Insider Trading

All New in 2020!

Insider trading is a crime - and an insidious threat to the operation, reputation, and culture of your organization. This is designed for corporate executives, service professionals (law, finance, accounting), and all workers with access to business information that is not easily available to the general investing public.

This course teaches:

- What is "inside information?"
- Where do people get inside information?
- What is a duty of trust?
- The basics of tipping
- How tipping happens and can lead to trouble for friends and family
- How mishandling confidential information can lead to enterprise risk, even if the conduct does not amount to insider trading
- The rules around trading windows
- Information about organizational policies and restrictions

Interactive survey questions in the course give employers real insight into strengths and vulnerabilities in the organization regarding compliance with insider trading laws. And Emtrain's innovative Ask the Expert feature gives learners direct access to course experts.



Course Versions

- 35 Minutes (Manager and Employee)

Languages

- English and translatable

Admin Optional Timer



Lesson	Description
An Overview of Insider Trading	Insider trading erodes confidence in the markets, impacts our work culture and can lead to fines and even prison. But what is it? And what are the rule of the game?
What Is "Inside Information"?	Insider trading always involves "material" and "non-public" information. Simple terms - but they may include things you wouldn't think of.
Sources of Inside Information	The words "insider trading" conjure up images of secret plots and nefarious plans to steal information. The reality is much different because many of us have access to material, non-public information just doing our jobs.
Breaching a Duty	Insider trading always involves a breach of trust - owed to the company or to individuals. But what does that mean - and how do you know when sharing or acting on inside information breaches a duty of trust?
The Basics of Tipping	People love to share a good secret. But sharing inside information with friends or family can lead to legal problems - for you and them.
Accidental Tipping	It's easy to share inside information without giving much thought to how others might use and share the information. But it's still a problem that you can avoid with awareness and thoughtfulness.
The Hot Tip	Tipping isn't always limited to just two people - the one sharing the information and the one receiving it. Tipping chains can involve sharing and re-sharing information - getting the attention of authorities and creating problems for everyone up and down the chain.
Related Offenses	Mishandling confidential information - or lying about it - can lead to very serious consequences - even if the conduct doesn't cross the line into insider trading.



Lesson	Description
Trading Windows	Reviews the definition of Trading Windows and how they are used by companies to prevent insider trading.
Summary Quiz	A quick review of key insider trading concepts.
Reporting Questions and Policies	Policies on insider trading and reporting concerns.
Post-Program Survey	A post-program survey on the learning experience.